

**STATE OF RHODE ISLAND
BEFORE THE RHODE ISLAND ETHICS COMMISSION**

IN RE RAYMOND E. GALLISON, JR.

COMPLAINT NO. 2007-1

INFORMAL RESOLUTION AND SETTLEMENT

The Respondent, Raymond E. Gallison, Jr., and the Ethics Commission Prosecutor, representing the People of the State of Rhode Island, hereby agree to a resolution of the above-referenced matter as follows, subject to the approval of the Rhode Island Ethics Commission:

A. Findings of Fact and Admissions

The parties agree and admit to the facts set forth in Section II of the Investigative Report in this matter, entitled "FACTS," and expressly incorporate the facts stated therein into this Informal Resolution and Settlement. In particular, and without limitation, the parties agree to and admit the following:

1. The Respondent was required to file yearly financial disclosure statements with the Ethics Commission covering calendar years 2000, 2001 and 2002.
2. In calendar year 2000, the Respondent was employed by The College Readiness Program and received total income through that employment in the amount of Twenty Thousand Eight Hundred Thirty Dollars (\$20,830).
3. In calendar year 2001, the Respondent was employed by The College Readiness Program and received total income through that employment in the amount of Fifty Thousand Eight Hundred Thirty-Five Dollars (\$50,835).
4. In calendar year 2002, the Respondent was employed by The College Readiness Program and received total income through that employment in the amount of Thirty Thousand Three Hundred Fifty-Five Dollars (\$30,355).

5. In answer to Question 6 on the yearly financial disclosure statements filed by the Respondent for calendar years 2000, 2001 and 2002, the Respondent did not disclose his aforementioned employment with The College Readiness Program.

B. Conclusions of Law

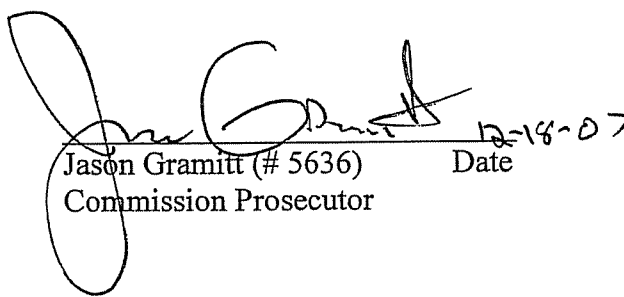
1. The Respondent, a member of the Rhode Island House of Representatives, a state elected position, was at all relevant times subject to the Rhode Island Code of Ethics, including the financial disclosure provisions of R.I. Gen. Laws §§ 36-14-16 and 36-14-17.
2. Section 36-14-16(a)(1) required, for calendar years 2000, 2001 and 2002, the Respondent's filing of a financial disclosure statement in compliance with the requirements of chapter 36-14 of the General Laws.
3. Among the requirements of chapter 36-14 of the General Laws was a "list of all sources of occupational income identified by employer" R.I. Gen. Laws § 36-14-17(b)(2).
4. In conformity with the aforementioned sections of the General Laws, the financial disclosure forms in use for calendar years 2000, 2001 and 2002 required the listing of "the names of any employer from which you, your spouse, or dependent child received \$1,000 or more gross income during calendar year [2000, 2001 and 2002 respectively]." Financial Disclosure Statements, Question 6.
5. By failing to disclose his employment with The College Readiness Program in his financial disclosure statement filed with the Ethics Commission for calendar year 2000, the Respondent violated R.I. Gen. Laws § 36-14-16.
6. By failing to disclose his employment with The College Readiness Program in his financial disclosure statement filed with the Ethics Commission for calendar year 2001, the Respondent violated R.I. Gen. Laws § 36-14-16.
7. By failing to disclose his employment with The College Readiness Program in his financial disclosure statement filed with the Ethics Commission for calendar year 2002, the Respondent violated R.I. Gen. Laws § 36-14-16.

C. Penalties and Terms of Settlement

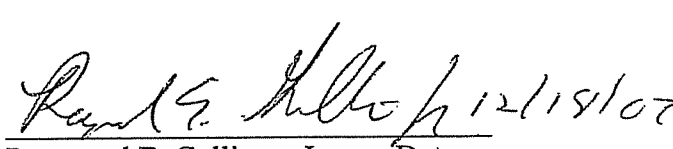
Pursuant to the above Findings of Fact and Admissions, and Conclusions of Law, the Respondent and the Commission Prosecutor agree, subject to the approval of the Rhode Island Ethics Commission, to the following pursuant to R.I. Gen. Laws § 36-14-13(d):

1. That the Respondent shall pay a total civil penalty of Six Thousand Dollars (\$6,000), attributed to civil penalties in the amount of Two Thousand Dollars (\$2,000) for each of the three violations of R.I. Gen. Laws § 36-14-16 identified in paragraphs (B)(5), (6) and (7) of this Informal Resolution and Settlement; and
2. That the Ethics Commission shall not initiate a referral of these proceedings to the Rhode Island Department of Attorney General.


The above terms represent the full and complete Informal Resolution and Settlement for Complaint No. 2007-1.


Jason Gramitt (# 5636)
Commission Prosecutor

12-18-07
Date

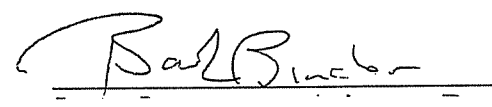

Raymond E. Gallison, Jr.
Respondent

12/18/07
Date


Anthony M. Traini (# 4793)
Counsel for Respondent

Date

APPROVED by vote of the
Rhode Island Ethics Commission
On December 18, 2007:


Barbara R. Binder, Vice Chair

1/03/07
Date